

UTILITY PATENT APPLICATION TRANSMITTAL

(for Noncontinuing, Nonprovisional Applications under 37 C.F.R. §1.53(b))

Attorney Docket No. 7378/84013

Customer No. 22242

Mail Stop PATENT APPLICATION
Commissioner for Patents
U.S. Patent and Trademark Office
2011 South Clark Place
Customer Window
Crystal Plaza Two, Lobby, Room 1B03
Arlington, VA 22202

Sir:

Transmitted herewith for filing under 37 C.F.R.
§1.53(b) is the nonprovisional, noncontinuing
patent application for:

April 19, 2004

Title: CATIONICALLY POLYMERIZABLE
COMPOSITION AND INK

First Named Inventor: UOZUMI

- ☒ 26 pages of the specification (including claims and Abstract) are enclosed.
- ☐ 0 sheet(s) of drawings are enclosed. ☐ Formal ☐ Informal
- ☒ An executed Oath or Declaration and Power of Attorney naming the actual inventor is enclosed.
- ☐ The names of persons believed to be the actual inventors are set forth in the enclosed unexecuted Oath or Declaration and Power of Attorney (§1.41(a) and §1.53(b)).
- ☒ An Assignment of the invention to RISO KAGAKU CORPORATION, and cover sheet are enclosed.
- ☐ A check in the amount of \$_____ to cover the fee for recording the assignment is enclosed.
- ☒ The Recordation Form Cover Sheet includes authorization to charge our Deposit Account for recording the assignment.
- ☐ A 37 C.F.R. §3.73(b) statement is enclosed where an assignee seeks to take action.

- ☐ An Information Disclosure Statement is enclosed.
- ☐ A Form PTO/SB/08 is enclosed.
- ☐ _____ References (copies) listed as foreign patent documents or non-patent literature on Form PTO/SB/08 (37 C.F.R. § 1.98(a)(2)) are enclosed.
- ☒ A Return Receipt Postcard is enclosed (MPEP §503).
- ☒ Priority of application number 2003-118976 filed on 23 April 2003 and in Japan is claimed under 35 U.S.C. §119.
- ☒ A certified copy of the priority document is enclosed.
- ☐ A Computer Program Listing Appendix is enclosed.
- ☐ A Transmittal Cover Letter for Computer Program Listing Appendix is enclosed.
- ☐ Two (2) Compact Discs are enclosed.
- ☐ A Nucleotide and/or Amino Acid Sequence Submission is enclosed.
- ☐ A Computer Readable Copy is enclosed.
- ☐ A Paper Copy (Identical to Computer Copy) is enclosed.
- ☐ A Statement Verifying Identity of above Copies is enclosed.
- ☒ The filing fee is calculated below:

Fee Calculation For Claims As Filed

| | | | | | | | | | | |
|-----------------------------------|-----------|---|-----------|---|----------|---|----|------------------|----|-------------|
| Basic Fee | | | | | | | | | \$ | 770.00 |
| Independent Claims | <u>1</u> | - | <u>3</u> | = | <u>0</u> | x | \$ | 86.00 | = | \$ 0.00 |
| Total Claims | <u>19</u> | - | <u>20</u> | = | <u>0</u> | x | \$ | 18.00 | = | \$ 0.00 |
| Fee for Multiply Dependent Claims | | | | | | | | \$ 290.00 | | \$ 290.00 |
| | | | | | | | | Total Filing Fee | | \$ 1,060.00 |

- ☐ Applicant(s) assert entitlement to Small Entity Status (37 C.F.R. § 1.27), reducing the Filing Fee by half to: \$ 0.00
- ☐ A check in the amount of \$_____ to cover the filing fee is enclosed.
- ☒ Charge \$ 1,060.00 to Deposit Account No. 06-1135, under Order No. 7378/84013.
- ☐ The payment of the Filing Fee is to be deferred until the Declaration is filed. Do not charge our Deposit Account.

- ☒ A separate written request under 37 C.F.R. §1.136(a)(3), which is a general authorization to treat any concurrent or future reply requiring a petition for an extension of time under 37 C.F.R. §1.136(a) for its timely submission as incorporating a petition for an extension of time for the appropriate length of time, is enclosed.
- ☒ The Director is hereby authorized to charge any additional fees which may be required in this application under 37 C.F.R. §§1.16-1.17 during its entire pendency, or credit any overpayment, to Deposit Account No. 06-1135. Should no proper payment be enclosed herewith, the Director is authorized to charge the unpaid amount to Deposit Account No. 06-1135, under Order No. 7378/84013. This sheet is filed in duplicate.
- ☒ Also enclosed: Application Data Sheet

- ☒ Address all future communications to

Kendrew H. Colton
Fitch, Even, Tabin & Flannery
1801 K Street, N.W.
Suite 401L
Washington, D.C. 20006

April 19, 2004

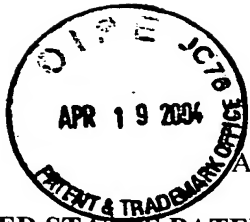
Date



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Registration No. 30,368

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PATENT

Attorney Docket No. 7378/84013

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant(s): UOZUMI)
)
Appln No.: (New Application))
)
Filed: Herewith)
)
For: CATIONICALLY POLYMERIZABLE)
COMPOSITION AND INK)
)
Group Art Unit: To Be Assigned)
)
Examiner: To Be Assigned)
)
)

**GENERAL AUTHORIZATION FOR PETITION FOR
EXTENSION OF TIME UNDER 37 C.F.R. §1.136(a)(3)**

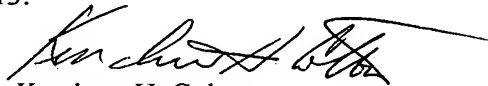
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Arlington, VA 22202

Sir:

Applicant(s) hereby request under 37 C.F.R. §1.136(a)(3) by this general authorization that any concurrent or future reply submitted by Applicant(s) to the United States Patent and Trademark Office for the above-identified patent application requiring a petition for an extension of time under §1.136(a) for its timely submission be treated as incorporating therein a petition for an extension of time for the appropriate length of time.

If Applicant(s) do not timely pay for any extension fee(s) pursuant to 37 C.F.R. §1.136(a) which may become due for this application under 37 C.F.R. §1.17 by check, the Commissioner is hereby authorized to charge such fee(s), and any additional fees which may be required in this application under 37 C.F.R. §§1.16-1.17 during its entire pendency, or credit any overpayment, to Deposit Account No. 06-1135, under Order No. 7378/84013.

April 19, 2004
Date


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Registration No. 30,368

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